

S05

# Solution Series Checklist SMSF



Version: 250311

## Solution Series - SMSF - Checklist

|                                       |  |                 |                   |
|---------------------------------------|--|-----------------|-------------------|
| <b>Forms</b>                          | Application Forms and Broker Supporting Notes  |                 |                   |
|                                       | Valuation Form   |                 |                   |
|                                       | Servicing Calculator   |                 |                   |
| <b>All Applicants/<br/>Guarantors</b> | Certified Colour Copy of Passport and Driver's Licence                                       |                 |                   |
|                                       | Equifax Credit Report  |                 |                   |
|                                       | VISA (if applicable)   |                 |                   |
| <b>SMSF Documents</b>                 | Certified Copy of SMSF Trust Deed  |                 |                   |
|                                       | Certified Copy of SMSF Bare / Custodian Trust Deed   |                 |                   |
|                                       | Investment Strategy from Accountant or Financial Planner                                     |                 |                   |
|                                       | LRBA to be in line with current investment strategy  |                 |                   |
|                                       | Confirmation of 12 months contributions via one of:  |                 |                   |
|                                       | * Most recent 1 year Retail/ Industry Fund Superannuation Statements                         |                 |                   |
|                                       | * Most recent 1 year Cash Management Account Statements                                      |                 |                   |
| <b>Income Documents</b>               | * Most recent 1 year SMSF Financials   |                 |                   |
|                                       | <b>PAYG</b>  |                 |                   |
|                                       | Latest 2 Payslips  |                 |                   |
|                                       | <b>Self Employed</b>   |                 |                   |
|                                       | Required when contributions history not evident or sufficient to support servicing:          |                 |                   |
|                                       | *Most recent 1 year Company Tax Returns & Financial Statements                               |                 |                   |
|                                       | *Most recent 1 year Personal Tax Returns & Notice of Assessment                              |                 |                   |
|                                       | Required when owner occupied commercial:   |                 |                   |
|                                       | *Confirmation of rental expense being met at, or above proposed market rental for SMSF<br>OR |                 |                   |
|                                       | *Most recent (1) year Company Financials   |                 |                   |
| <b>Security</b>                       | <b>New Purchase</b>  |                 |                   |
|                                       | Contract of Sale   | Deposit Receipt | Funds to Complete |
|                                       | <b>Refinance</b>   |                 |                   |
|                                       | Last 12 months Cash Management Statements confirming contributions                           |                 |                   |
|                                       | Last 6 months SMSF Loan Statements   |                 |                   |
|                                       | Rental Statements or copy of Lease Agreement   |                 |                   |